

DECLARATION



As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **FIBRONECTIN BINDING PROTEIN COMPOSITIONS AND METHODS OF USE**, the Specification of which:

- ☐ is attached hereto.  
☒ was filed on **January 21, 1998** as Application Serial No. **09/010,317**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

60/036,139	January 21, 1997	Abandoned
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to David W. Hibler, Patent Agent, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (512) 418-3000.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE

STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

Inventor's Full Name:	Magnus		Höök
Inventor's Signature:	<i>Magnus Höök</i>		
Country of Citizenship:	Sweden	Date:	4/23/98
Residence Address: (street, number, city, state, and/or country)	4235 Oberlin Houston, TX 77005		
Post Office Address: (if different from above)			

Inventor's Full Name:	Joseph	M.	Patti
Inventor's Signature:	<i>Joseph M Patti</i>		
Country of Citizenship:	USA	Date:	7-17-98
Residence Address: (street, number, city, state, and/or country)	6680 Stratford Place Cumming, GA 30040		
Post Office Address: (if different from above)			

Inventor's Full Name:	Karen	L.	House-Pompeo
Inventor's Signature:	<i>Karen L. House-Pompeo</i>		
Country of Citizenship:	USA	Date:	7-11-98
Residence Address: (street, number, city, state, and/or country)	2829 Willow Wood Cr. Valdosta GA 31602		
Post Office Address: (if different from above)			

Inventor's Full Name:	Pietro		Speziale
Inventor's Signature:	<i>Pietro Speziale</i>		
Country of Citizenship:	ITALY	Date: July 2, 1998	
Residence Address: (street, number, city, state, and/or country)	Via Carpanelli 17 27100 PAVIA ITALY		
Post Office Address: (if different from above)			

Inventor's Full Name:	Danny		Joh
Inventor's Signature:	<i>Danny Joh</i>		
Country of Citizenship:	US	Date: 4/23/98	
Residence Address: (street, number, city, state, and/or country)	12010 Wood Hollow Ln. Houston, TX 77043		
Post Office Address: (if different from above)			

Inventor's Full Name:	Martin	J.	McGavin
Inventor's Signature:	<i>Martin J. McGavin</i>		
Country of Citizenship:	Canada	Date: 6/19/98	
Residence Address: (street, number, city, state, and/or country)	82 Paulart Drive Etobicoke, On. Canada m9B 3V9		
Post Office Address: (if different from above)			

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wagnus Höök, Joseph M. Patti, Karen O.  
House-Pompeo, Pietro Speziale, Danny Joh  
and Martin J. McGavin

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 09/010,317

Atty. Dkt. No.: TAMK:189/HIB

Filed: January 21, 1998

For: FIBRONECTIN BINDING PROTEIN  
COMPOSITIONS AND METHODS OF  
USE

DECLARATION CLAIMING SMALL ENTITY STATUS  
37 C.F.R. §§ 1.9(F) AND 1.27(D) - NONPROFIT ORGANIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: UNIVERSITY OF MANITOBA

Address of Organization: Room 202 Administration Building  
Winnipeg, Manitoba R3T 2N2

The type of organization is a university.

I hereby declare that the organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) (1), and thus is a "small entity" as defined in § 1.9(f), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the above-referenced application.

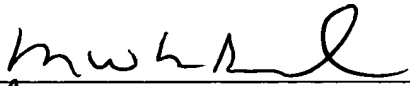
I hereby declare that exclusive rights to the invention have been conveyed to and remain with the organization, with respect to the above-referenced invention, nor have I assigned,

granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR. § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e), with the exception that the Government may have rights in the invention pursuant to a funding agreement under 35 U.S.C. § 202(c)(4): National Institutes of Health Grant No. AI20624

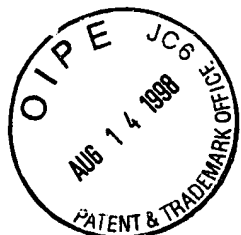
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

UNIVERSITY OF MANITOBA

By:   
Name: T. G. Falconer  
Title: Vice-President

Date: AUG 9/98



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In re Application of:

Magnus Höök  
Joseph M. Patti  
Karen O. House-Pompeo  
Pietro Speziale  
Danny Joh  
Marin J. McGavin

Group Art Unit: Unknown

Examiner: Unknown

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37 C.F.R. §§ 1.9(F) AND 1.27(D) - NONPROFIT ORGANIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: The Texas A & M University System

Address of Organization: 310 Wisenbaker  
College Station, Texas 77843-3369

The type of organization is a university.

I hereby declare that the organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) (1), and thus is a "small entity" as defined in § 1.9(f), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the above-referenced application.

I hereby declare that exclusive rights to the invention have been conveyed to and remain with the organization, with respect to the above-referenced invention, nor have I assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e), with the exception that the Government may have rights in the invention pursuant to a funding agreement under 35 U.S.C. § 202(c)(4): National Institutes of Health Grant No. AI20624

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THE TEXAS A & M UNIVERSITY SYSTEM

By: 

Name:

Terry A. Young

Title:

Executive Director

Technology Licensing Office

Date:

8-5-98



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Magnus Höök, Joseph M. Patti, Karen O.  
House-Pompeo, Pietro Speziale, Danny Joh  
and Maritn J. McGavin

Group Art Unit: Unknown

Examiner: Unknown

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37 C.F.R. §§ 1.9(F) AND 1.27(D) - NONPROFIT ORGANIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: UNIVERSITA' DEGLI STUDI DI PAVIA

Address of Organization: V.LE TARAMELLI 3/B 27100 PAVIA - ITALY

The type of organization is a university.

I hereby declare that the organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) (1), and thus is a "small entity" as defined in § 1.9(f), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the above-referenced application.

I hereby declare that exclusive rights to the invention have been conveyed to and remain with the organization, with respect to the above-referenced invention, nor have I assigned,



granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e), with the exception that the Government may have rights in the invention pursuant to a funding agreement under 35 U.S.C. § 202(c)(4): National Institutes of Health Grant No. AI20624

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

UNIVERSITA' DEGLI STUDI DI PAVIA

By: \_\_\_\_\_

Name: GIUSEPPE CETTA

Title: Director, Department of Biochemistry

Date: July, 7, 1998